

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**B3E**  
**HEIM, YOUNG & ASSOCIATES INC.**  
**1256 E KINGSLEY STREET**  
**SPRINGFIELD, MO 65804-7211**

**SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2011**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	97.78%
Limit orders as percentage of total non-directed orders	2.22%
Other orders as percentage of total non-directed orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, LLC	31.11%
2. UBS Securities, LLC	26.67%
3. National Financial Services LLC	22.22%
4. Citadel Securities LLC	6.67%
5. Goldman, Sachs & Co.	4.44%
6. Citigroup Global Markets, Inc.	4.44%
7. NYSE Arca	2.22%
8. Two Sigma Securities, LLC	2.22%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.73%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.55%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.55%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%

8. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	90.00%
Limit orders as percentage of total non-directed orders	10.00%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. National Financial Services LLC	30.00%
2. Knight Equity Markets, L.P.	30.00%
3. UBS Securities, LLC	20.00%
4. Direct Edge Exchange	5.00%
5. Instinet L.L.C.	5.00%
6. Morgan Stanley & Co. Incorporated	5.00%
7. Two Sigma Securities, LLC	5.00%

### Information Concerning Significant Venues :

#### 1. National Financial Services LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.33%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 2. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.78%
Limit orders as percentage of total limit orders	50.00%
Other orders as percentage of total other orders	0.00%

#### 3. UBS Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.22%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 4. Direct Edge Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	50.00%
Other orders as percentage of total other orders	0.00%

#### 5. Instinet L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.56%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.56%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.56%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

**Securities Listed on NYSE Amex or Regional Exchanges**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. National Financial Services LLC	40.00%
2. Citadel Securities LLC	33.33%
3. Knight Capital Markets, LLC	13.33%
4. Goldman, Sachs & Co.	6.67%
5. Citigroup Global Markets, Inc.	6.67%

**Information Concerning Significant Venues :**

1. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.33%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

3. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.33%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	54.55%
Limit orders as percentage of total non-directed orders	45.45%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NYSE Amex Options	36.36%
2. Nasdaq Execution Services, LLC	36.36%
3. NYSE Arca Options	9.09%
4. The Boston Option Exchange	9.09%
5. The Chicago Board Options Stock Exchange	9.09%

### Information Concerning Significant Venues :

#### 1. NYSE Amex Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	66.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 2. Nasdaq Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	80.00%
Other orders as percentage of total other orders	0.00%

#### 3. NYSE Arca Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

#### 4. The Boston Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 5. The Chicago Board Options Stock Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

**Summary Statistics**

Total Customer Orders	45
Total Non-Directed Orders	45
Non-Directed Market Orders	44
Non-Directed Limit Orders	1
Non-Directed Other Orders	0

Venue	Total			
	Non-Directed Orders	Non-Directed Market Orders	Non-Directed Limit Orders	Non-Directed Other Orders
TRIM	14	14	0	0
UBSS	12	12	0	0
NFSC	10	10	0	0
CDRG	3	3	0	0
GSCO	2	2	0	0
KEXP	2	2	0	0
ARCA	1	0	1	0
SOHO	1	1	0	0

**Summary Statistics**

Total Customer Orders	20
Total Non-Directed Orders	20
Non-Directed Market Orders	18
Non-Directed Limit Orders	2
Non-Directed Other Orders	0

Venue	Total			
	Non-Directed Orders	Non-Directed Market Orders	Non-Directed Limit Orders	Non-Directed Other Orders
NFSC	6	6	0	0
NITE	6	5	1	0
UBSS	4	4	0	0
EDGX	1	0	1	0
INCA	1	1	0	0
MSCO	1	1	0	0
SOHO	1	1	0	0

**Summary Statistics**

Total Customer Orders	15
Total Non-Directed Orders	15
Non-Directed Market Orders	15
Non-Directed Limit Orders	0
Non-Directed Other Orders	0

Venue	Total			
	Non-Directed Orders	Non-Directed Market Orders	Non-Directed Limit Orders	Non-Directed Other Orders
NFSC	6	6	0	0
CDRG	5	5	0	0
TRIM	2	2	0	0
GSCO	1	1	0	0
KEXP	1	1	0	0

**Summary Statistics**

Total Customer Orders	11
Total Non-Directed Orders	11
Non-Directed Market Orders	6
Non-Directed Limit Orders	5
Non-Directed Other Orders	0

Venue	Total			
	Non-Directed Orders	Non-Directed Market Orders	Non-Directed Limit Orders	Non-Directed Other Orders
AMEX	4	4	0	0
NSDQ	4	0	4	0
ARCA	1	0	1	0
BOX	1	1	0	0
CBOE	1	1	0	0