

HEIM & YOUNG & ASSOCIATES, INC.

Privacy Notice

Heim, Young & Associates, Inc., HYA Advisors, Inc., and HYA Financial Corp., herein referred to as “The Companies”¹, are committed to safeguarding the confidential information of their clients. As you know, we use financial and health information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your right to privacy. Following are standards we have established to ensure that we treat your personal information properly at all times.

Collection Of Information:

We collect information necessary to offer quality products and services. The information is generally provided by you on applications or other forms, whether we receive them in writing or electronically. For instance, depending on the services you request, we may obtain information such as your name, address, tax identification number, birth date, assets and income, beneficiary information and possibly your personal bank account information and/or health status. Generally, this information is required by law and the vendors which we do business with on your behalf.

Transactional information is collected from activity in your account(s), such as investment and insurance history and balances. This category also includes your communications to us concerning your investments/accounts.

Information may be kept on file, such as demographic statistics and information from outside sources with your consent (e.g. from other institutions if you transfer positions to or from “The Companies” or from interested parties acting on your behalf).

Shared Information Is Carefully Controlled:

Information may be shared with non-affiliated parties in instances where you have granted us authority to service your account(s), such as investment or insurance companies or outside brokerage firms. As permitted or required by law, and your non-public personal information may be disclosed to regulatory agencies or government personnel acting in an official capacity, or if certified by governmental agencies, with other non-affiliated financial institutions.

At your direction, and only to the extent necessary, we may release non-public, personal information to other professionals for purposes of estate or insurance planning, tax preparation, or other financial areas of expertise. We may also provide specific information, with your written authorization, to other interested parties, household members, or emergency contacts.

We limit employee and agent access to information to those who have a business or professional reason for knowing. Our employees are expected to protect that information from inappropriate access, disclosure and modification.

Safeguards To Protect Your Personal Information:

First and foremost, we do not sell lists of our customers, nor do we disclose customer information to marketing companies or solicitors.

We recognize the need to provide protection against unauthorized access to the information we collect, including that held in an electronic format on our computer systems. We maintain physical, electronic, and procedural safeguards to ensure that your information is not placed at unreasonable risk.

Our Commitment To Privacy:

In the financial services business, lasting relationships are built upon mutual respect and trust. With that in mind, we will continually reassess our privacy policy to ensure that it enables us to properly serve you, and we will provide you with a copy of our Privacy Notice each year to remind you of our commitment to privacy.

Your Satisfaction:

It is our goal to maintain the highest level of client satisfaction attainable. If you ever have any suggestions or complaints that are not being addressed, we want to hear about them. Contact our Compliance Department at our place of business during normal business hours.

¹ “The Companies”, Heim, Young & Associates, Inc., HYA Advisors, Inc., and HYA Financial Corp., while affiliated, act and operate as separate and individual entities. Heim, Young & Associates is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). For information on this organization, visit their website at www.sipc.org or call 202-371-8300.